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PTO/SB/05 (11-00)

Approved for use through 10/31/2002, OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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12/21/01
U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	
Attorney Docket No.	04860.P0945C2 (maximum 12 characters)
First Named Inventor	Christopher S. Derossi, et al.
Title:	A METHOD AND APPARATUS FOR ENABLING A COMPUTER SYSTEM
Express Mail Label No.	EL 672 749 506 US
ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D. C. 20231	
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	
1. <input checked="" type="checkbox"/>	Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)
2. <input type="checkbox"/>	Applicant Claims Small Entity Status. (37 CFR 1.27)
3. <input checked="" type="checkbox"/>	Specification (Total Pages <u>30</u>) (preferred arrangement set forth below) <ul style="list-style-type: none"> - Descriptive Title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure
4. <input checked="" type="checkbox"/>	Drawings(s) (35 USC 113) (Total Sheets <u>4</u>)
5. <input checked="" type="checkbox"/>	Oath or Declaration (Total Pages <u>1</u>) <ol style="list-style-type: none"> a. <input type="checkbox"/> Newly Executed (Original or Copy) b. <input checked="" type="checkbox"/> Copy from a Prior Application (37 CFR 1.63(d)) (for Continuation/Divisional with Box 18 completed) <ol style="list-style-type: none"> i. <input type="checkbox"/> <u>DELETIONS OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). c. <input type="checkbox"/> Unsigned.
6. <input type="checkbox"/>	Application Data Sheet. (37 CFR 1.76)
7. <input type="checkbox"/>	CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. <input type="checkbox"/>	Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ol style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: <ol style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statement verifying identity of above copies

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ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & documents(s))
10. a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
 b. Power of Attorney
11. English Translation Document (if applicable)
12. a. Information Disclosure Statement (IDS)/PTO-1449
 b. Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: Copy of postcard with Express Mail Certificate of Mailing

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP)
Of Prior Application No.: 08/558,929 Examiner M. Banankhah Group Art Unit 2151
(which is a continuation/ divisional/ CIP of prior application no. 08/019,599,
which is a continuation/ divisional/ CIP of prior application no. _____) (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.
For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that Apple Computer, Inc. (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. 6570 Frame No. 0618 and Reel. No. 6570 Frame No. 0621 (or a copy of which is attached).

19. Correspondence Address

Customer Number or Bar Code Label
or
 Correspondence Address Below

(Insert Customer No. or Attach Bar Code Label here)

NAME James C. Scheller, Jr.

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard, Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-8383

Name (PRINT/TYPE): James C. Scheller, Jr. Registration No.: 31,195

Signature: J. Scheller Date: 12/21/2001

PTO/SB/35(11-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL FOR FY 2002TOTAL AMOUNT OF PAYMENT (\$) 870.00

Complete if Known:

Application No. _____

Filing Date December 21, 2001First Named Inventor Christopher S. Derossi, et al.

Group Art Unit _____

Examiner Name _____

Attorney Docket No. 04860.P0945C2**METHOD OF PAYMENT** (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name _____

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. Payment Enclosed:

Check
 Money Order
 Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity	Small Entity				
Fee Code	Fee (\$)	Fee Code(\$)	Fee Description		Fee Paid
101	740	201	370	Utility application filing fee	<u>740.00</u>
106	330	206	165	Design application filing fee	_____
107	510	207	255	Plant filing fee	_____
108	740	208	370	Reissue filing fee	_____
114	160	214	80	Provisional application filing fee	_____
SUBTOTAL (1) \$					740.00

2. EXTRA CLAIM FEES

	<u>Extra</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims <u>20</u>	<u>- 20 = 0</u>	<u>X 18.00</u>	<u>= 0</u>
Independent Claims <u>3</u>	<u>- 3 = 0</u>	<u>X 84.00</u>	<u>= 0</u>
Multiple Dependent Claims	<u>0</u>	<u>X 0</u>	<u>= 0</u>

**Or number previously paid, if greater; For Reissues, see below.

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code(\$)	Fee Description	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)\$ 0.00

FEE CALCULATION (continued)

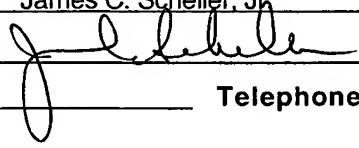
3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Description	
105	130	Surcharge - late filing fee or oath	
127	50	Surcharge - late provisional filing fee or cover sheet	
139	130	Non-English specification	
147	2,520	For filing a request for ex parte reexamination	
099	8,800	Request for inter partes reexamination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	Requesting publication of SIR after Examiner action	
115	110	Extension for response within first month	
116	400	Extension for response within second month	
117	920	Extension for response within third month	
118	1,440	Extension for response within fourth month	
128	1,960	Extension for response within fifth month	
119	320	Notice of Appeal	
120	320	Filing a brief in support of an appeal	
121	280	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive unavoidably abandoned application or unavoidably delayed payment of issue fee	
141	1,280	Petition to revive unintentionally abandoned application or unintentionally delayed payment of issue fee	
142	1,280	Utility issue fee (or reissue)	
143	460	Design issue fee	
144	620	Plant issue fee	
122	130	Petitions to the Commissioner	
123	50	Petitions related to provisional applications	
126	180	Submission of Information Disclosure Stmt	
581	40	Recording each patent assignment per property (times number of properties)	
146	740	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	740	For each additional invention to be examined (see 37 CFR 1.129(b))	
179	740	Request for Continued Examination (RCE)	
169	900	Request for expedited examination of a design application	
Other fee (specify)	Petition for Suspension of Action (1 page)	130.00	
Other fee (specify)			
	SUBTOTAL (3)\$	130.00	

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: James C. Scheller, Jr.

Signature:  Date: 12/21/2001

Reg. Number: 31,195 Telephone Number: (408) 720-8300

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL 672 749 506 US
Date of Deposit: DECEMBER 21, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

CONNIE THAYER
(Typed or printed name of person mailing paper or fee)

Connie Thayer
(Signature of person mailing paper or fee)

12-21-01
(Date signed)

Serial/Patent No.: *** Filing/Issue Date: December 21, 2001
Client: Apple Computer, Inc.
Title: A METHOD AND APPARATUS FOR ENABLING A COMPUTER SYSTEM

BSTZ File No.: 04860.P0945C2
Date Mailed: 12-21-01

Atty/Secty Initials: JCS/clt

Docket Due Date: ***

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- Amendment/Response (____ pgs.)
 - Appeal Brief (____ pgs.) (in triplicate)
 - Application - Utility (____ pgs., with cover and abstract)
 - Application - Rule 1.53(b) Continuation (30 pgs.)
 - Application - Rule 1.53(b) Divisional (____ pgs.)
 - Application - Rule 1.53(b) CIP (____ pgs.)
 - Application - Rule 1.53(d) CPA Transmittal (____ pgs.)
 - Application - Design (____ pgs.)
 - Application - PCT (____ pgs.)
 - Application - Provisional (____ pgs.)
 - Assignment and Cover Sheet
 - Certificate of Mailing
 - Declaration & POA (____ pgs.)
 - Disclose Docs & Org & Copy of Inventor Signed Letter (____ pgs.)
 - Drawings: _____ # of sheets includes _____ figures
- Express Mail No.: EL 672 749 506 US Check No. 47229
 - _____ Month(s) Extension of Time Amt: \$870.00
 - Information Disclosure Statement & PTO-140 (____ pgs.) Check No. 47230
 - Issue Fee Transmittal Amt: \$130.00
 - Notice of Appeal
 - Petition for Extension of Time
 - Petition for Suspension of Prosecution (1 pg)
 - Postcard
 - Power of Attorney (____ pgs.)
 - Preliminary Amendment (1 pgs.)
 - Reply Brief (____ pgs.)
 - Response to Notice of Missing Parts
 - Small Entity Declaration for Indep. Inventor/Small Business
 - Transmittal Letter, in duplicate 2 pages
 - Fee Transmittal, in duplicate 2 pages
- Other: copy of parent appln serial no. 08/558,929 filed 11/13/95 (30 pages) with signed Declaration (1 pg) and 4 sheets of figures; copy of postcard with Express Mail Certificate of Mailing (1 pg)